

## Catalyst Selection Based on Intermediate Stability Measured by Mass Spectrometry

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### 1. Introduction

#### 1-1. Catalyst development

- Rational catalyst design
- Generation of diversity followed by selection

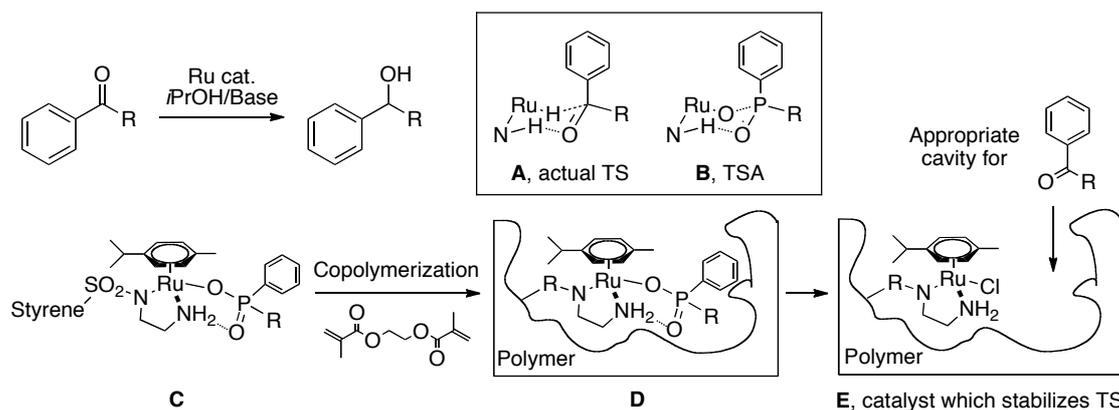
Enzyme	Combinatorial methods
<ul style="list-style-type: none"> <li>⊙ nature's most successful catalyst</li> <li>⊙ natural selection: "survival of the fittest"</li> </ul>	<ul style="list-style-type: none"> <li>○ availability of large ligand libraries</li> <li>× all library members have to be evaluated individually</li> </ul>

→ Efficient selection method capable of selecting the best catalyst from a mixture is desirable.

#### 1-2. Examples of reported selection method: How to select the best catalyst (reaction rate, stereoselectivity, etc.)

- Select the catalyst which lowers the activation barrier

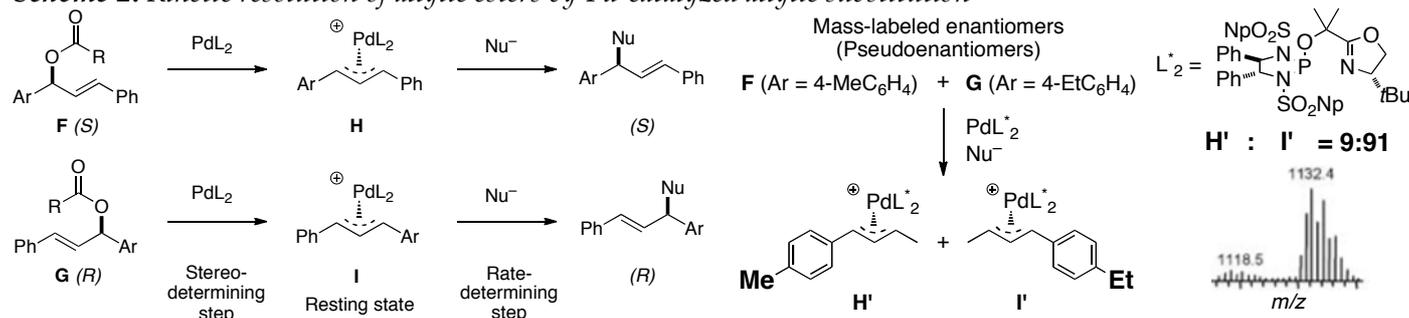
**Scheme 1.** Molecular imprinting with TSA in Ru-catalyzed transfer hydrogenation of ketones



- In one example, phosphinato complex **B** was utilized as transition state analogue (TSA) of actual TS **A** in Ru-catalyzed transfer hydrogenation of ketones (Scheme 1).<sup>1</sup>
- Complex **C** having TS-like structure was copolymerized to give the highly crosslinked jet porous polymer **D**, whose phosphinato moiety was subsequently cleaved off to give polymer **E**, which has a cavity with the appropriate shape for the reaction of the corresponding ketone.
- The transfer hydrogenation reaction using polymer **E** was more active, and substrate-selective for the ketone used for molding than the polymer without such a cavity.

- Selection method by examining catalytic intermediates

**Scheme 2.** Kinetic resolution of allylic esters by Pd-catalyzed allylic substitution



- In one example, ESI-MS was used to determine the ligand with the highest kinetic resolution ability in Pd-catalyzed allylic substitution reaction (Scheme 2).<sup>2</sup>
- Oxidative addition of a racemic mixture of **F** and **G** to PdL<sub>2</sub> affords Pd-allyl complexes **H** and **I**, whose ratio reflects the catalyst's ability to discriminate between two enantiomers **F** and **G**.
- In order to distinguish **H** and **I** in ESI-MS measurement, mass-labeled pseudoenantiomers **H'** and **I'**, which possess a substituent with a different mass in the position too far from the reactive part to affect the reaction were employed.
- Analysis of the reaction mixture by ESI-MS successfully predicted the resolution ability of a chiral ligand.

→ Generally speaking, the selection method based on property of intermediate is more convenient because it is much easier to understand intermediates than more elusive transition states.

### 1-3. This work

Authors have developed a new catalyst selection method which focuses on the stability of catalytic intermediates equilibrated in a mixture using electrospray ionization mass spectrometry (ESI-MS), and applied it for the ligand optimization of Pd-catalyzed allylic alkylation reactions.

## 2. Results and Discussion

### 2-1. Author's assumption: relationship between stability of intermediate and activation energy

- Higher reaction rate = lower activation energy ( $E_a$ ) is achieved by
  - a) stabilization of transition state
  - b) destabilization of reactant (this work)**
- According to Marcus theory, TS is affected to a less extent, when the reactant is raised or lowered in energy, especially if it is late TS ( $|E_2 - E_1| > |E_{TS2} - E_{TS1}|$ ).
- Relative stability of reactant rather than TS determines  $E_a$ .
- When  $E_2$  is less stable ( $E_2 > E_1$ ),  $E_{a2}$  is lower ( $E_{a2} < E_{a1}$ ).

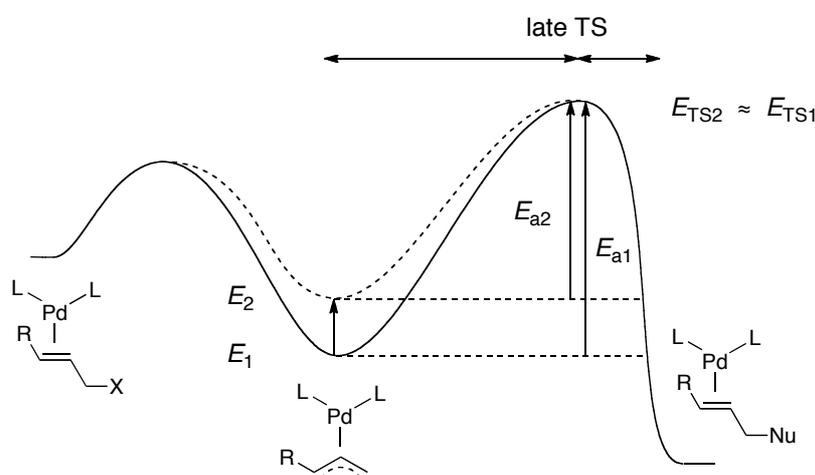


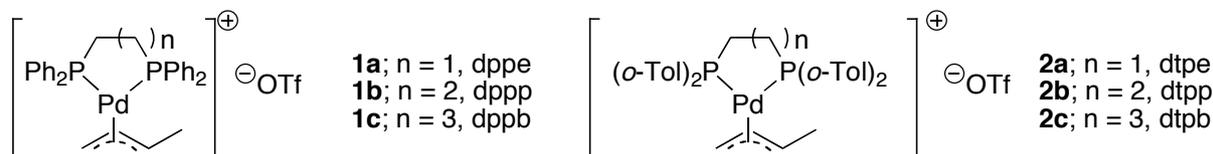
Figure 1. Potential energy diagram of Pd-catalyzed allylic alkylation

### 2-2. Proof of Principle: Pd-catalyzed allylic alkylation

- Rate-determining step in Pd-catalyzed allylic alkylation is nucleophilic attack to Pd- $\pi$ -allyl intermediate with late TS.<sup>3</sup>
- The reaction fulfills the conditions outlined above (2-1).
- The least stable Pd- $\pi$ -allyl intermediate should lead to the most active catalyst: “survival of the weakest”.

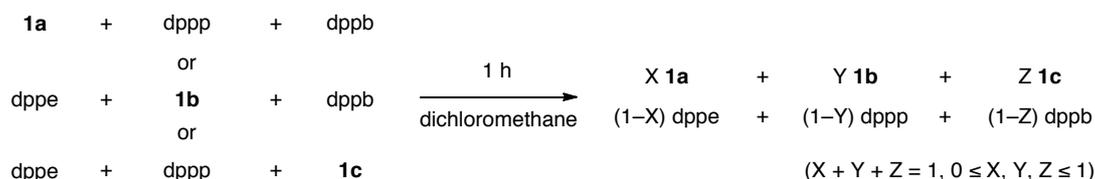
#### 2-2-1. New catalyst selection method using ESI-MS

- 6 diphosphine ligands with different bite angles and steric properties were used to prepare the corresponding Pd complexes **1a-1c** and **2a-2c**.



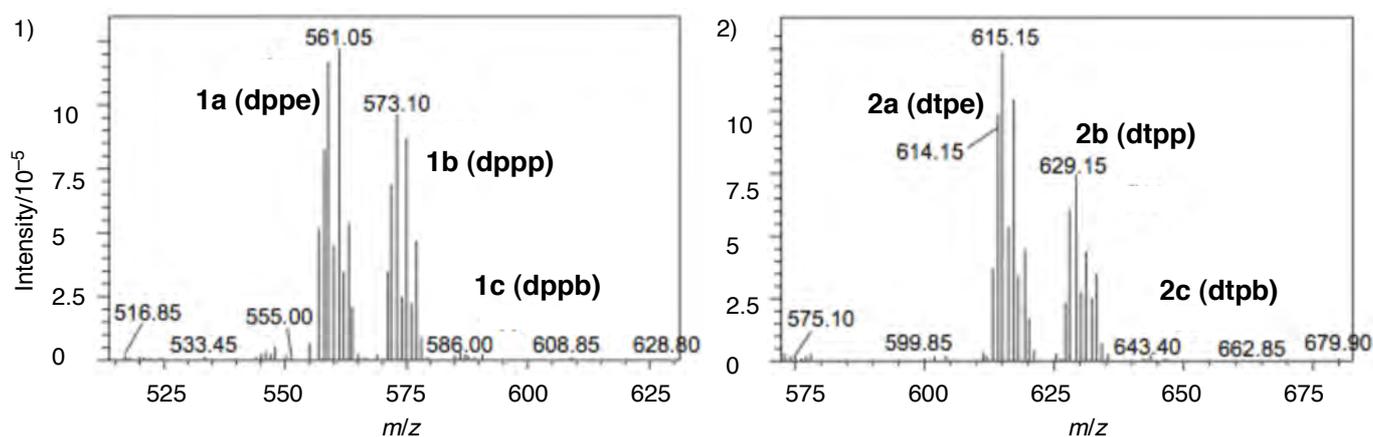
- One equivalent of **1a** was mixed with equimolar amounts of dppp and dppb to generate a dynamic library of Pd-allyl intermediates (Scheme 3).
- In order to exclude the trapping of kinetic mixtures, experiments starting with **1b** and **1c** were also conducted to give the identical data.

**Scheme 3.** Dynamic library of Pd-allyl intermediates in equilibrium



- The resulting equilibrium mixture was analyzed by ESI-MS (Figure 2).

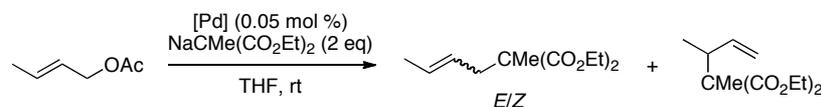
Abundance: **1a > 1b > 1c** **2a > 2b > 2c**  
 Stability: **1a > 1b > 1c** **2a > 2b > 2c**  
 Expected reactivity: **1c > 1b > 1a** **2c > 2b > 2a**



**Figure 2.** ESI-MS spectrum of a dynamic library of Pd-allyl complexes for the ligand series 1) **1a–1c** and 2) **2a–2c**

### 2-2-2. Catalytic reaction with Pd complexes

**Table 1.** Pd-catalyzed allylic alkylation of crotyl acetate



catalyst	TOF <sup>a</sup>	linear/branch	E/Z
<b>1a</b>	<b>2.0</b>	80/20	86/14
<b>1b</b>		83/17	92/8
<b>1c</b>		82/18	96/4
<b>2a</b>	<b>0.7</b>	91/9	71/29
<b>2b</b>		96/4	67/33
<b>2c</b>		98/2	87/13

<sup>a</sup> Turnover frequency determined at 20% conversion in h<sup>-1</sup>.

- The trend in reaction rate (**1c > 1b > 1a**, **2c > 2b > 2a**) is consistent with that obtained by the ESI-MS selection method.

### 2-2-3. Thermodynamic parameters

- a) Experimental relative energy of Pd-allyl complex:  $\Delta G_{\text{MS}} = -RT \ln(I_A/I_B)$  ( $I$ : intensity of ESI-MS)  
b) Experimental relative activation energy:  $\Delta E_a = -RT \ln(k_A/k_B)$  ( $k$ : turnover frequency)  
c) Theoretical relative energy of Pd-allyl complex:  $\Delta E_{\text{DFT}}$

• Experimental  $\Delta G_{\text{MS}}$  values correspond well with theoretical  $\Delta E_{\text{DFT}}$  values.

• The degree to which  $\Delta E_a$  is lowered is much smaller than the effect on the  $\Delta G_{\text{MS}}$  ( $|\Delta G_{\text{MS}}| > |\Delta E_a|$ ).

→ Consistent with the initial assumption based on Marcus theory.

**Table 2.** Relative energies

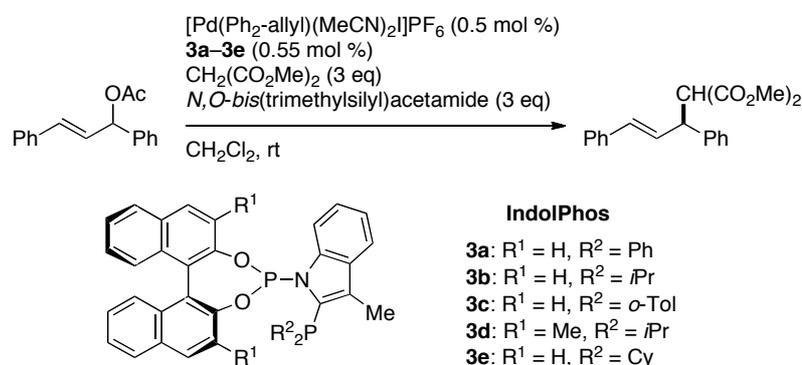
Pd-allyl	$\Delta G_{\text{MS}}$ (kcal mol <sup>-1</sup> )	$\Delta E_{\text{DFT}}^a$ (kcal mol <sup>-1</sup> )	$\Delta E_a$ (kcal mol <sup>-1</sup> )
<b>1a</b>	0.0	0.0	0.0
<b>1b</b>	0.4	0.4	-0.3
<b>1c</b>	2.8	3.9	-0.9
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<b>2a</b>	0.0	–	0.0
<b>2b</b>	0.6	–	-0.5
<b>2c</b>	2.9	–	-1.0

<sup>a</sup> In the absence of OTf<sup>-</sup> counterion at ZORA-BLYP/TZ2P level.

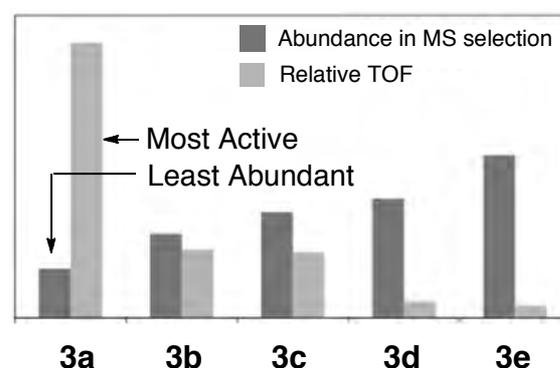
### 2-4. Application to another reaction

- Pd-catalyzed asymmetric allylic alkylation of *rac*-diphenylpropenyl acetate with IndolPhos was performed (Scheme 4).
  - Equimolar amounts of five IndolPhos ligands **3a–3e** with different steric and electronic properties were mixed with 1 equivalent of a precursor, [Pd( $\eta^3$ -1,3-Ph<sub>2</sub>-allyl)(MeCN)<sub>2</sub>]<sub>2</sub>PF<sub>6</sub>.
  - After equilibration for 3 days, relative abundance **3a** < **3b** < **3c** < **3d** < **3e** was determined by ESI-MS.
  - The order of TOF **3a** > **3b** > **3c** > **3d** > **3e** determined by GC monitoring correlates inversely with relative stability (Figure 3).
- Author's concept "survival of the weakest" was again supported.

#### Scheme 4. IndolPhos–Pd catalyzed allylic alkylation



**Figure 3.** Relative abundance and TOF



### 3. Conclusion

Authors developed a new technique to select the most active catalyst from a dynamic mixture of Pd complexes for allylic alkylations. The selection method only requires an ordinary ESI-MS and can screen several ligands at the same time, making it simple and efficient.

### 4. References

- <sup>1</sup> a) Polborn, K.; Severin, K. *Chem. Commun.* **1999**, 2481–2482. b) Polborn, K.; Severin, K. *Chem. Eur. J.* **2000**, *6*, 4604–4611. c) Polborn, K.; Severin, K. *Eur. J. Inorg. Chem.* **2000**, 1687–1692.
- <sup>2</sup> a) Markert, C.; Pfaltz, A. *Angew. Chem. Int. Ed.* **2004**, *43*, 2498–2500. b) Markert, C.; Rösel P.; Pfaltz, A. *J. Am. Chem. Soc.* **2008**, *130*, 3234–3235.
- <sup>3</sup> a) Granberg, K. L.; Backvall, J.-E. *J. Am. Chem. Soc.* **1992**, *114*, 6858–6863. b) Evans, L. A.; Fey, N.; Harvey, J. N.; Hose, D.; Lloyd-Jones, G. C.; Murray, P.; Orpen, A. G.; Osborne, R.; Owen-Smith, G. J. J.; Purdie, M. *J. Am. Chem. Soc.* **2008**, *130*, 14471–14473. c) Helmchen, G.; Dahnz, A.; Dübon, Pierre.; Schelwies M.; Weihofen, R. *Chem. Commun.* **2007**, 675–691.